

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Art Unit: 2871  
Shunpei YAMAZAKI et al. ) Examiner: T. Ton  
Serial No. 09/285,899 )  
Filed: April 8, 1999 )  
For: ELECTRO-OPTICAL DEVICE )  
HAVING LEVELING FILM )

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*Adeline M. Stanger*

**INFORMATION DISCLOSURE STATEMENT**

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Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).


Except as provided below, in accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

U.S. Patent Nos. 5,032,883; 5,166,085; and 5,229,644 are in the family of JP 64-068726. These U.S. patents were not directly cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

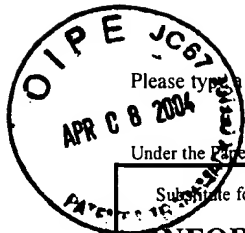
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Respectfully submitted,

  
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/285,899
				Filing Date	April 8, 1999
				First Named Inventor	Shunpei YAMAZAKI et al.
				Group Art Unit	2871
				Examiner Name	T. Ton
Sheet	1	of	1	Attorney Docket Number	0756-1950

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,032,883		Wakai et al.	07/16/1991	
		5,166,085		Wakai et al.	11/24/1992	
		5,229,644		Wakai et al.	07/20/1993	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		JP	64-068726			03/14/1989		AB
		JP	01-222226			09/05/1989		AB
		JP	01-259320			10/17/1989		AB

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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